SECTOR/

#### **CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS,

WASHINGTON, D.C. 20231, ON MAY 16, 2000

MALL AGEN

AGENT/ATTORNEY FOR APPLICANT

DATE

Attorney Docket No. 1109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tao et al.

Date:

May 16, 2000

Serial No.:

09/496,444

Group Art Unit:

1649

Filed

February 2, 2000

Examiner:

For:

"CELL CYCLE POLYNUCLEOTIDES, POLYPEPTIDES AND USES

THEREOF"

Assistant Commissioner for Patents Box Missing Parts Washington, D.C. 20231

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILING DATE GRANTED

This letter is in response to the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted" mailed on April 6, 2000 which indicated that the Declaration for the subject patent application is missing. A copy of "The Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted" is enclosed.

Enclosed please find a Declaration and Power of Attorney for the subject patent application which has been fully executed by the inventors of the subject patent application in compliance with 37 C.F.R. 1.63.

Serial No. 09/296,444 Group Art Unit: 1649



Please charge the \$130.00 surcharge for late filing of the fully executed declaration to Deposit Account No. 16-1852. Please charge any additional requisite fees for filing the enclosed Declaration and Power of Attorney or credit any over-payment to Deposit Account No. 16-1852. A duplicate copy of this form is enclosed.

Respectfully submitted,

Marianne H Michel

Marianne H. Michel

Attorney for Applicant(s)

Registration No. 35,286

PIONEER HI-BRED INTERNATIONAL, INC.

Corporate Intellectual Property 7100 N.W. 62<sup>nd</sup> Avenue P.O. Box 1000 Johnston, Iowa 50131-1000

Phone: (515) 334-4467 Facsimile: (515) 334-6883







#### **FORMALITIES LETTER**

\*OC000000005033221\*

## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/496,444

02/02/2000

Yumin Tao

1109

Marianne H. Michel Pioneer Hi-Bred International, Inc. Corporate Intellectual Property 7100 N. W. 62nd Avenue P. O. Box 1000 Johnston, IA 50131-1000

Date Mailed: 04/06/2000

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

09496444

PART 2 - COPY TO BE RETURNED WITH RESPONSE

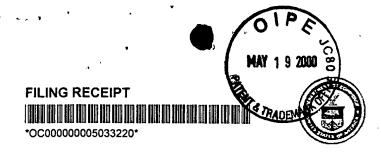
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#### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/496,444	02/02/2000	1649	1698	1109		63	6

Marianne H. Michel Pioneer Hi-Bred International, Inc. Corporate Intellectual Property 7100 N. W. 62nd Avenue P. O. Box 1000 Johnston, IA 50131-1000

Date Mailed: 04/06/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Yumin Tao, Residence Not Provided; William J. Gordon-Kamm, Residence Not Provided: Keith S. Lowe, Residence Not Provided: Matthew A. Bailey, Residence Not Provided:

**Continuing Data as Claimed by Applicant** 

THIS APPLN CLAIMS BENEFIT OF 60/119,857 02/12/1999

→ WHICH IS A CIP OF 09/398,858 09/20/1999

Jaims Benefit

WHICH CLAIMS BENEFIT OF 60/101,551 09/23/1998 This Apply Claims Benefit of 09/118,857 02/25/99

Foreign Applications

If Required, Foreign Filing License Granted 04/05/2000

Title

This Appla

Cell cycle polynucleotides, polypeptides and uses thereof

Preliminary Class

800

MAY 1 9 2000 80

ATIFICATE OF MAILING

I HEREBY CERTIFY THAT THE CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS,

WASHINGTON, D.C. 20231, ON MAY 16, 2000

AGENT/ATTORNEY FOR APPLICANT

16,2000 DATE

Attorney Docket No. 1109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tao et al.

Date:

May 16, 2000

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09/496,444

Group Art Unit:

1649

Filed:

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Examiner:

For:

"CELL CYCLE POLYNUCLEOTIDES, POLYPEPTIDES AND USES

THEREOF"

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

#### REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data which is incorrectly entered:

Continuing Data as Claimed by Applicant:

This appln claims benefit of 60/119,857 02/12/1999

Which is a CIP of 09/398,858 09/20/1999

Which claims benefit of 60/101,551 09/23/1998

Serial No. 09/496,444 Group Art Unit: 1649

The Filing Receipt should read a follows:

Continuing Data as Claimed by Applicant:

This appln claims benefit of 60/119,857 02/12/1999

This appln claims benefit of 09/398,858 09/20/1999

Which claims benefit of 60/101,551 09/23/1998

This appln claims benefit of 09/118,857 02/25/99

The correction is not due to any error by the applicant and no fee is due.

The Commissioner is hereby authorized to charge the payment of any other fees under 37 C.F.R. §1.19(h) concerning this transaction, or to credit any overpayment, to Deposit Account No. 16-1852. A duplicate copy of this sheet is attached.

Respectfully submitted,

Marianne H. Michel Attorney for Applicant(s)

Marianne H Michel

Registration No. 35,286

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